



EVIDENCE OF TRANSFER OF CLAIM

TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, **UNION BANCAIRE PRIVEE, UBP SA** ("Transferor") unconditionally and irrevocably transferred to **Societe Generale Private Banking Suisse SA** all of its right, title, interest claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. **55520**) in nominal amount of USD 210'719.44 **equal to an allowed claim of USD 173'367.52** related to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS EXECUTED  
THIS 24<sup>th</sup> July 2014.

UNION BANCAIRE PRIVEE, UBP SA



Elena Montgomery Tonini  
Corporate Actions Dept  
Director



Riad Sultan  
Corporate Actions Dept  
Associate

**Schedule I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

<b>ISIN / Description</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount</b>
ISIN ANN5214A6406 Certificate on VREF 26.03.12	55520	29th October 2009	Lehman Brothers Securites	USD 100'000

<b>ISIN / Description</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Nominal Amount</b>
ISIN XS0341522687 Reverse convertible 23.12.09	55520	29th October 2009	Lehman Brothers Securites	USD 100'000



Epiq bankruptcy Solutions, LLC  
Attn Lehman Brothers Holdings  
Claim Process  
757 Third Avenue, 3<sup>rd</sup> Floor  
New York 10017  
United States of America

Lausanne, 30<sup>th</sup> July 2014

Evidence of Transfer of Claim Lehman –Court Claim 55520  
Lehman ANN5214A6406 / Lehmann XS0341522687

Dear All,

Please find attached and duly signed the form of Evidence of Transfer of Claim  
If you want additional information, feel free to contact to the following email address :

[sgpb-lau-backoffice-ost@socgen.com](mailto:sgpb-lau-backoffice-ost@socgen.com)

Best regards,

A blue ink signature of Terence Thorpe.

Terence THORPE  
Assistant Vice President

A blue ink signature of Thierry Mory.

Thierry Mory  
First Vice President

Société Générale Private Banking (Suisse) SA

Siège  
Rue de la Corraterie 6  
Case postale 5022  
CH-1211 Genève 11  
Tél. +41 (0)22 819 02 02  
Fax +41 (0)22 819 04 03  
[www.privatebanking.societegenerale.ch](http://www.privatebanking.societegenerale.ch)  
[www.societegenerale.com](http://www.societegenerale.com)

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Talstrasse 66  
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Tel. +41 (0)44 218 56 11  
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Succursale de Lausanne  
Avenue de Rumine 20  
Case postale 220  
CH-1001 Lausanne  
Tél. +41 (0)21 343 12 12  
Fax +41 (0)21 343 12 13

RECOMMENDED WEIGHT	
1 = 0.5 kg	
2 = 1 kg	
3 = 2 kg	
4 = 5 kg	
5 = 10 kg	
6 = 15 kg	
7 = 20 kg	
8 = 25 kg	

**DOX DHL**

**0017 , United States** Origin: GVA

**FKL US-ZYP-TS2**

Day Time

Date: 1/14 Pcs / Shpt Weight Piece / 0.50 KB

Content description: FILERECEIVED JUL 31 2014

PIPIQ SYSTEMS

WAYBILL 16 7561 3365

(2L)US10017+42000000

(J)JD01 4600 0002 7583 5448

1 Player account number and name  
 Change no.  Shipper  Receiver  
 Player Account No. 1500476  
 Shipping Number  Yes  No  
 2 From Shipper  
 Shipper's account number  
 1500 161c8  
 Shippers referred to as "Customer"  
 Company name  
 Société Générale Private Banking  
 Address  
 Av. Rameau 20  
 Paris, France  
 Phone, Fax or Email (required)  
 +33 1 4412 11 11  
 Postcode/Zip Code (required)  
 75001  
 To Receiver  
 EPIQ BANKRUPTCY SOLUTIONS, LLC  
 Attn Lehman Brothers  
 Holdings Claims Process  
 757 Third Avenue, 3rd Floor  
 NY 10017  
 New York  
 Country USA  
 Postcode/Zip Code (required)  
 100-646-2822500  
 Contact person  
 made from recycled mail

1. **Receiver's / Parcel copy**

2. **Document Description of contents**

3. **Documents**

4. **Non-Documents Only (Customs Requirements)**

5. **Harmonized Commodity Code if applicable**

6. **Port of Export**

7. **Shipper's Authorization / Signature required**

8. **www.dhl.com**